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AN ALGORITHM FOR A MINIMUM COVER  
OF  
AN ABSTRACT COMPLEX \*

by

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Algorithms for a minimum cover and maximum matching of an abstract complex are obtained. These algorithms are useful for many combinatorial problems.

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AN ALGORITHM FOR A MINIMUM COVER  
OF  
AN ABSTRACT COMPLEX

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Introduction. Let  $X = \{x_1, x_2, \dots, x_m\}$  be a finite set of  $m$  points and  $\mathcal{A} = \{A_1, A_2, \dots, A_n\}$  be a class of  $n$  subsets of  $X$ . Such a system of points and sets is called a complex  $(X, \mathcal{A})$ . If every set of the class  $\mathcal{A}$  contains two points, the complex is a graph with  $m$  points  $x_1, x_2, \dots, x_m$  and  $n$  edges  $A_1, A_2, \dots, A_n$ . For any subclass  $\mathcal{A}_1$  of  $\mathcal{A}$ ,  $\mathcal{A}_1(x)$  denotes the class consisting of the sets which belong to  $\mathcal{A}_1$  and contain the point  $x$ . For a subset  $A$ ,  $\mathcal{A}_1(A)$  denotes the class consisting of those sets which belong to  $\mathcal{A}_1$  and contain at least one point of  $A$ . A complex  $(X, \mathcal{A})$  in which every set has the same number of points is called a regular complex.  $|A|$  and  $|\mathcal{A}_1|$  denote respectively the number of points in  $A$  and the number of sets in the class  $\mathcal{A}_1$ . Let  $\underline{c}$  be a  $m$ -vector of positive integers  $c(x_1), c(x_2), \dots, c(x_m)$ . A subclass  $\mathcal{A}_1$  is called a  $\underline{c}$ -cover if for every point  $x$ ,  $|\mathcal{A}_1(x)| \geq c(x)$ . A subclass  $\mathcal{A}_1$  is a  $\underline{c}$ -matching if for every point  $x$ ,  $|\mathcal{A}_1(x)| \leq c(x)$ . Covers with minimum number of sets and matchings with maximum number of sets are respectively called minimum covers and maximum matchings. For a minimum  $\underline{c}$ -cover  $\mathcal{A}_1$ , let  $X_1$  be the set of those point  $x$  for which  $|\mathcal{A}_1(x)| = c(x)$ . Following Fulkerson and Ryser [2]  $|X_1|$  is called the  $\underline{c}$ -height of  $\mathcal{A}_1$ . Minimum possible  $\underline{c}$ -height of a minimum  $\underline{c}$ -cover is called the  $\underline{c}$ -height of the complex  $(X, \mathcal{A})$ . Similarly for a  $\underline{c}$ -matching  $\mathcal{A}_1$ , let  $X_2$  denote the set of those points  $x$  for which  $|\mathcal{A}_1(x)| < c(x)$ .  $|X_2|$

is called the  $\underline{c}$  - depth of the  $\underline{c}$  - matching  $A_1$ . Maximum possible  $\underline{c}$  - depth of a  $\underline{c}$  - matching is called the  $\underline{c}$  - depth of the complex.

To a complex  $(X, \mathcal{A})$  we can associate an incidence matrix  $A = ((a_{ij}))$  with  $m$  rows and  $n$  columns where  $a_{ij} = 1$  if  $x_i \in A_j$ , and  $a_{ij} = 0$ , otherwise,  $i = 1, 2, \dots, m$ ,  $j = 1, 2, \dots, n$ . Fulkerson and Ryser [2] considers the minimum cover problem in terms of the matrix  $A$ . A set of  $\varepsilon$  columns of the matrix  $A$  is called an  $\alpha$ -set of representatives if in the submatrix of  $A$  consisting of the  $m$  rows and the  $\varepsilon$  columns, each row sum is not less than  $\alpha$ . Let  $\varepsilon(\alpha)$  be the minimum number of columns of  $A$  that form an  $\alpha$ -set of representatives. The number  $\varepsilon(\alpha)$  is called the  $\alpha$ -width of the matrix  $A$ . Obviously  $\varepsilon(\alpha)$  is the cardinality of the minimum  $\underline{c}$  - cover of the complex  $(X, \mathcal{A})$  where  $\underline{c} = (\alpha, \alpha, \dots, \alpha)$ . Fulkerson and Ryser comments, "Very little is known concerning good computational methods for determining widths and heights of  $(0, 1)$  -matrices. Efficient algorithms in this domain would be of great interest".

Petersen [4] introduced the concept of alternating path which led to algorithms for minimum cover and maximum matching of graphs. Berge [1] first gave an inductive proof of the maximum matching theorem for graphs. Norman and Rabin [3] gave an ingenious proof of the maximum matching and minimum cover theorems for graphs. In this paper the idea of alternating path is extended to general complexes and certain theorems are proved about minimum covers and maximum matchings of general complexes. The proofs of this theorem follow the line of proof of Norman and Rabin [3]. Section 1 proves the main theorem about the minimum cover of a complex and gives the corresponding algorithm. A 'level transformation' is defined. By applying this transformation on a particular minimum cover all minimum covers can be obtained. In section 3 we prove a theorem about the minimum cover of a regular complex. This theorem gives a shorter algorithm for the minimum cover of a regular

complex. In section 3 is proved a theorem about the height of a minimum cover which gives an algorithm for the height of a complex. A 'parallel transformation' is defined. By applying <sup>this transformation</sup> on a minimum cover with minimum height, the family of all minimum covers with minimum heights <sup>can be obtained.</sup> Section 4 gives a simpler algorithm for the height of a regular complex. Section 5 establishes a close relationship between a matching problem and a cover problem. It is shown that a maximum  $\underline{c}$ -matching can be obtained from a certain minimum cover. Also the  $\underline{c}$ -depth of a complex can be determined from the  $\underline{d}$ -height of the complex for a certain vector  $\underline{d}$ .

Extending the ideas of the present paper, it is possible to get an algorithm for any integer programming problem. This will be developed in a subsequent paper. There are many important practical applications of the minimum cover and maximum matching algorithm. For some special applications it is possible to obtain an algorithm sharper than the general algorithm. These applications also will be discussed in the subsequent paper.

1. Minimum  $\underline{c}$ -cover. Let  $A_1$  and  $B_1$  be two classes of sets. Consider a finite sequence  $C$  of distinct sets  $A_1, B_1, A_2, B_2, \dots$  where  $A_i \in A_1$  and  $B_i \in B_1$ ,  $i = 1, 2, \dots$ . Let  $H_i$  be the union of the sets  $A_1, B_2, \dots, A_i, B_i$ ,  $i = 1, 2, \dots$ .  $D_i$  and  $F_i$  respectively denote the classes consisting of the sets  $A_1, A_2, \dots, A_i$  and  $B_1, B_2, \dots, B_i$ ,  $i = 1, 2, \dots$ .  $F_0$  denotes the null class.

$$\text{Let } D_i = \left\{ x \mid x \in H_i, |A_1(x)| + |F_{i-1}(x)| - |D_i(x)| < c(x) \right\}$$

$$\text{and } F_i = \left\{ x \mid x \in G_i, |D_i(x)| < |F_i(x)| \right\}, i = 1, 2, \dots$$

We shall use these notations throughout sections 1 and 2. Let  $A_1$  be a  $\underline{c}$ -cover and  $B_1 = A - A_1$ . A sequence  $C = \{A_1, B_1, A_2, B_2, \dots\}$

is an alternating chain if

$$\begin{aligned}
 & A_1 \in \mathcal{A}_1 \\
 (1.1) \quad & \dots \quad B_i \in (\mathcal{B}_1 - \mathcal{F}_{i-1})(D_i) \\
 & \text{and} \quad A_{i+1} \in (\mathcal{A}_1 - D_i)(F_i), \\
 & i = 1, 2, \dots
 \end{aligned}$$

An alternating chain  $C = \{A_1, B_1, \dots, A_p, B_p, A_{p+1}\}$  is called a reducible chain if  $D_{p+1}$  is a null set. A single set  $A_1$  is a reducible chain if  $D_1$  is a null set, i.e. if for every point  $x$  of  $A_1$ ,  $|A_1(x)| > c(x)$ . The set  $A_1$  of the reducible chain is called the leader of the chain. A  $\underline{c}$ -cover  $A_1$  is an irreducible  $\underline{c}$ -cover if there exists no reducible chain with respect to (w.r.t)  $A_1$ .

It is easy to see that if  $A_1$  is a  $\underline{c}$ -cover and  $C = \{A_1, B_1, \dots, A_p, B_p, A_{p+1}\}$  is a reducible chain w.r.t  $A_1$ , then  $A_2 = A_1 - D_{p+1} \cup \mathcal{F}_p$  is a  $\underline{c}$ -cover with cardinality less than that of  $A_1$ .

Let  $\mathcal{B}_1$  be a  $\underline{c}$ -cover and  $A_1 = A - \mathcal{B}_1$ . Consider a sequence  $C$  of sets  $A_1, B_1, A_2, B_2, \dots, A_p, B_p$  where  $A_i \in \mathcal{A}_1$  and  $B_i \in \mathcal{B}_1$ ,  $i = 1, 2, \dots, p$ .

$$\text{Let } D_i' = \left\{ x \mid x \in H_i, |\mathcal{F}_{i-1}(x)| < |D_i(x)| \right\}, \\
 i = 1, 2, \dots$$

Such a sequence is called a level chain if

$$\begin{aligned}
 B_i & \in (\mathcal{B}_1 - \mathcal{F}_{i-1})(D_i'), \\
 A_{i+1} & \in (\mathcal{A}_1 - D_i)(F_i)
 \end{aligned}$$

$$\text{and } |B_1(x)| + |D_p(x)| - |\mathcal{F}_p(x)| \geq c(x)$$

for every  $x$  in  $H_p$  and  $i = 1, 2, \dots$ . Consider the transformation which takes the  $\underline{c}$ -cover  $\mathcal{B}_1$  to  $\mathcal{B}_2$  where

$$\mathcal{B}_2 = \mathcal{B}_1 - \mathcal{F}_p \cup \mathcal{D}_p$$

Obviously  $\mathcal{B}_2$  is also a  $\underline{c}$ -cover. Since the cardinality of  $\mathcal{B}_2$  is same as that of  $\mathcal{B}_1$ , the above transformation is called a 'level transformation'.

Let  $L(\mathcal{B}_1)$  be the family of all classes which can be obtained from  $\mathcal{B}_1$  by applying the level transformation a finite number of times. For two covers

$A_1$  and  $A_0$ ,  $d(A_1, A_0)$  denotes the number of sets of the class  $A_1$  which do not belong to  $A_0$ . Let  $\mathcal{B}_1$  be a cover in the family  $L(A_0)$  such that

$$d(A_1, \mathcal{B}_1) \leq d(A_1, A_i), A_i \in L(A_0).$$

Then  $\mathcal{B}_1$  is said to be a cover of the family  $L(A_0)$  nearest to  $A_1$ .

Lemma 1. Let  $A_1$  and  $A_0$  be two  $\underline{c}$ -covers. Let  $\mathcal{B}_1$  be a cover in the family  $L(A_0)$  nearest to  $A_1$ . If there exists a set  $A_1$  in  $A_1 - \mathcal{B}_1$ , then there exists a reducible chain w.r.t.  $A_1$

$$C = \{A_1, B_1, \dots, A_p, B_p, A_{p+1}\}$$

where  $A_{i+1} \in A_1 - \mathcal{B}_1$  and  $B_i \in \mathcal{B}_1 - A_1$ ,  $i = 1, 2, \dots, p$ .

Proof. Assume that there exists no such reducible chain (w.r.t.  $A_1$ ) with  $A_1$  as the leader. Consider  $(\mathcal{B}_1 - \mathcal{F}_0)(D_1)$ . Since there is no reducible chain with  $A_1$  as leader  $D_1$  is not empty. If possible, suppose

$$(1.1) \dots \dots \dots (\mathcal{B}_1 - \mathcal{F}_0)(D_1) \subset A_1 - D_1.$$

Since  $\mathcal{B}_1$  is a  $\underline{c}$ -cover, it follows from (1.1) that for every  $x$  in  $D_1$

$$(1.2) \dots \dots \dots |A_1(x)| - |D_1(x)| + |\mathcal{F}_0(x)| \geq c(x).$$

This contradicts the definition of  $D_1$ . Hence (1.1) is not true and we

can choose  $B_1$  where

$$B_1 \in (B_1 - F_0) \cap (D_1) - (A_1 - D_1).$$

Next we consider  $(A_1 - D_1) \cap (F_1)$ . If possible, suppose

$$(1.3) \dots \dots \dots (A_1 - D_1) \cap (F_1) \subset B_1 - F_1$$

Since  $A_1$  is a  $\underline{c}$ -cover, from (1.3) it follows that for every  $x$  in  $F_1$

$$(1.4) \dots \dots \dots |B_1(x)| - |F_1(x)| + |D_1(x)| \geq c(x).$$

From (1.4) we can easily see that  $C = \{A_1, B_1\}$  is a level chain w.r.t.  $B_1$  and

$$B_2 = B_1 - F_1 \cup D_1 \in L(A_0).$$

Also we have

$$(1.5) \dots \dots \dots d(A_1, B_2) < d(A_1, B_1)$$

which contradicts the assumption that  $B_1$  is nearest to  $A_1$ . Hence

(1.3) is not true and we can choose  $A_2$  where

$$A_2 \in (A_1 - D_1) \cap (F_1) - (B_1 - F_1)$$

Using the same arguments by induction we can show that there exists an infinite sequence of distinct sets which is a contradiction. Hence there must exist a reducible chain with  $A_1$  as the leader with the required property.

Theorem 1. A  $\underline{c}$ -cover  $A_1$  of a complex  $(X, A)$  is a minimum  $\underline{c}$ -cover if and only if  $A_1$  is irreducible.

Proof. Necessity is obvious. To prove sufficiency, assume that  $A_1$  is irreducible. Let  $A_0$  be a minimum  $\underline{c}$ -cover. Let  $B_1$  be a cover in the family  $L(A_0)$  nearest to  $A_1$ . Since  $B_1$  is also a minimum  $\underline{c}$ -cover, it is sufficient to show that  $A_1 \subset B_1$ . If possible, suppose there exists a set  $A_1$  contained in  $A_1 - B_1$ . Then by lemma 1, there is a reducible chain w.r.t.  $A_1$  which is a contradiction. Hence  $A_1 - B_1$  is empty. This completes the proof of the theorem.

Theorem 2. If  $A_1$  is a minimum  $\underline{c}$  - cover, then any other minimum  $\underline{c}$  - cover  $A_2$  belongs to the family  $L(A_1)$ .

Proof. Let  $B_1$  be the cover in the family  $L(A_1)$  nearest to  $A_2$ . Since  $A_2$  is a minimum  $\underline{c}$  - cover, it is irreducible. Now by the same arguments as used in the proof of theorem 1, we can show that  $B_1 = A_2$ .

Theorem 1 gives the following algorithm for the minimum cover of a complex. We start with a  $\underline{c}$  - cover  $A_1$ . If there is no reducible chain w.r.t.  $A_1$ ,  $A_1$  is a minimum  $\underline{c}$  - cover. So the algorithm consists in looking for reducible chains. Whenever a reducible chain is obtained, we get a new  $\underline{c}$  - cover whose cardinality is one less than that of the original  $\underline{c}$  - cover. In this way, finally we get a  $\underline{c}$  - cover which is irreducible. To test if there is a reducible chain w.r.t. a  $\underline{c}$  - cover  $A_1$  with a given set  $A_1$  of  $A_1$  as the leader, we can proceed as follows. Let  $B_1 = A - A_1$ . We start with  $A_1$  and test if  $A_1$  is a reducible chain w.r.t.  $A_1$ . If not, if possible we choose  $B_1 \in \mathcal{B}_1(D_1)$ . Next if possible we find

$$A_2 \in (A_1 - D_1) (F_1)$$

and test whether  $D_2$  is a null set. If  $D_2$  is a null set,  $D_2 \cup F_1$  is a reducible chain w.r.t.  $A_1$  and the test is completed. If not, if possible we find

$$B_2 \in (B_1 - F_1) (D_2).$$

In this manner, we proceed to build a chain until we get a reducible chain or until no further addition of sets to the chain satisfying the conditions (1.1) is possible. For instance the chain will terminate after the selection of  $A_1, B_1, \dots, A_p, B_p$  if  $(A_1 - D_p) (F_p)$  is a null class. The chain will terminate after the selection of  $A_1, B_1, \dots, A_p, B_p, A_{p+1}$  if  $D_{p+1} \cup F_p$  is a reducible chain w.r.t.  $A_1$  or  $(B_1 - F_p) (D_{p+1})$  is a null class. At the terminating stage, we have a maximal chain. By varying the choices of the sets  $B_1, A_2 \dots$  we can get all possible maximal chains and



find out if there is a reducible chain w.r.t.  $A_1$  with  $A_1$  as the leader. Theorem 2 gives an algorithm for finding out all minimum  $\underline{c}$  - covers starting from a given minimum  $\underline{c}$  - cover  $A_1$ . The algorithm consists in looking for level chains. First we find out all possible level chains w.r.t.  $A_1$  and find out minimum  $\underline{c}$  - covers  $A_2, A_3, \dots, A_k$ . Next we find level chains w.r.t. each of  $A_2, A_3, \dots, A_k$  and find new minimum  $\underline{c}$  - covers  $A_{k+1}, \dots, A_l$  and so on. Finally we shall reach a stage when we do not find any more minimum  $\underline{c}$  - covers.

2. Minimum covers of regular complexes. Let  $A_1$  be a  $\underline{c}$  - cover of a regular complex  $(X, A)$ . A set  $A$  of  $A_1$  is called a heavy set if for at least one point  $x$  of  $A$ ,  $|A_1(x)| > c(x)$ . A reducible chain whose leader is a heavy chain is called a heavy reducible chain. In this section we consider only regular complexes.

Lemma 2. A reducible chain w.r.t. a  $\underline{c}$  - cover  $A_1$  contains at least one heavy set.

Proof. Suppose  $C = \{A_1, B_1, \dots, A_p, B_p, A_{p+1}\}$  is a reducible chain w.r.t.  $A_1$ . Let  $H_{p+1}$  be the union of the sets in the chain  $C$ . Let  $H'$  be the union of the sets  $A_1, A_2, \dots, A_{p+1}$  and  $H^* = H_{p+1} - H'$ . If possible, suppose there is no point  $x$  in  $H'$  for which  $|A_1(x)| > c(x)$ . Let  $q$  be the number of points in every set of  $A$ . Then it follows easily that

$$(2.1) \dots \dots \dots \sum_{x \in H_{p+1}} |D_{p+1}(x)| = (p+1)q > pq = \sum_{x \in H_{p+1}} |F_p(x)|.$$

Let  $A' = A_1 - D_{p+1}$ . Since  $C$  is a reducible chain  $A_2 = A' \cup F_p$  is a  $\underline{c}$  - cover. For every point  $x$  in  $H'$ ,  $|A_1(x)| = |A'(x)| + |D_{p+1}(x)| = c(x)$ . Also  $|A_2(x)| = |A'(x)| + |F_p(x)|$

Hence for  $x$  in  $H'$

$$(2.2) \dots \dots \dots |A'(x)| + |D_{p+1}(x)| - c(x) \leq |A'(x)| + |F_p(x)| - c(x),$$

For  $x$  in  $H^*$ ,  $|D_{p+1}(x)| = 0$ . Hence for  $x \in H^*$ ,

$$(2.3) \dots \dots \dots |A'(x)| + |D_{p+1}(x)| - c(x) \leq |A'(x)| + |F_p(x)| - c(x).$$

From (2.2) and (2.3), we get

$$(2.4) \dots \dots \dots \sum_{x \in H_{p+1}} (|A'(x)| + |D_{p+1}(x)| - c(x)) \leq$$

$$\sum_{x \in H_{p+1}} (|A'(x)| + |F_p(x)| - c(x))$$

The inequality (2.4) contradicts (2.2). Hence there must exist a point  $x$  in  $H'$  for which  $|A_1(x)| > c(x)$ . In other words there is a heavy set in the reducible chain.

Lemma 3. Let  $A_1$  be any  $\underline{c}$ -cover and  $A_0$  be a minimum  $\underline{c}$ -cover. Let  $B_1$  be a  $\underline{c}$ -cover in the family  $L(A_0)$  nearest to  $A_1$ . If all heavy sets of  $A_1$  are contained in  $B_1$ , then  $A_1$  is a minimum - cover.

Proof. It is sufficient to show that  $A_1 \subset B_1$ . If not, suppose  $A_1 - B_1$  contains a set  $A_{i+1}$ . Then by lemma 1, there exists a reducible chain  $C = \{A_1, B_1, \dots, A_p, B_p, A_{p+1}\}$  where  $A_{i+1} \in A_1 - B_1$  and  $B_i \in B_1 - A_1$ ,  $i = 1, 2, \dots, p$ . By lemma 2 there is a heavy set  $A$  in the reducible chain  $C$ . This contradicts our assumption. Hence the lemma follows.

Theorem 3. If a  $\underline{c}$ -cover  $A_1$  is such that there is no heavy reducible chain, w.r.t.  $A_1$ , then  $A_1$  is a minimum  $\underline{c}$ -cover.

Proof. Let  $A_0$  be a minimum  $\underline{c}$ -cover and  $B_1$  be a  $\underline{c}$ -cover in the family  $L(A_0)$  nearest to  $A_1$ . By lemma 3, it is sufficient to show that all heavy sets of  $A_1$  are contained in  $B_1$ . If not, suppose  $A_1 - B_1$  contains a heavy set  $A_1$ . Then by lemma 1 there exists a reducible chain w.r.t.  $A_1$ .

$$C = \{A_1, B_1, \dots, A_p, B_p, A_{p+1}\}.$$

By definition  $C$  is a heavy reducible chain. This contradicts our hypothesis. Hence the theorem is true.

Theorem 3 gives a shorter algorithm for minimum covers of regular complexes. It shows that in the case of regular complexes it is necessary to look for only heavy reducible chains.

3. Height of a complex. Let  $A_1$  and  $B_1$  be two subclasses of  $A$ . Consider a sequence  $E$  of sets  $E_1, E_2, \dots, E_{2p-1}, E_{2p}$  belonging to  $A$ . Let  $P_i = \bigcup_{j=1}^i E_j$ ,  $i=1, 2, \dots, 2p$ . Let  $R_i$  and  $S_i$  respectively denote the classes consisting of those sets of  $A_1$  and  $B_1$  which occur among  $E_1, E_2, \dots, E_i$ ,  $i = 1, 2, \dots, 2p$ .

Let

$$(3.1) \quad R_i = \left\{ x \mid x \in P_i, |R_i(x)| > |S_i(x)| \right\}$$

$$\text{and } S_i = \left\{ x \mid x \in P_i, |R_i(x)| < |S_i(x)| \right\}$$

$$i = 1, 2, \dots, 2p.$$

We shall use the above notations throughout sections 3 and 4.

Now we assume that  $A_1$  is a  $\underline{c}$ -cover and  $B_1 = A - A_1$ .

A sequence  $E$  is called a properly connected sequence (w.r.t  $A_1$ )

if the following four conditions are satisfied for  $k = 1, 2, \dots, p$ .

(i) If  $E_{2k} \in B_1$  and  $(A_1 - R_{2k}) \cap (S_{2k})$  is not empty,

$$E_{2k+1} \in (A_1 - R_{2k}) \cap (S_{2k})$$

$$\text{and } E_{2k+2} \in (B_1 - S_{2k+1}) \cap (R_{2k+1}).$$

(ii) If  $E_{2k} \in B_1$  and  $(A_1 - R_{2k}) (S_{2k})$  is empty,

$$E_{2k+1} \in (B_1 - I_{2k}) (R_{2k})$$

and  $E_{2k+2} \in (A_1 - R_{2k+1}) (S_{2k+1})$

(iii) If  $E_{2k} \in A_1$  and  $(B_1 - I_{2k}) (R_{2k})$  is not empty,

$$E_{2k+1} \in (B_1 - I_{2k}) (R_{2k})$$

and  $E_{2k+2} \in (A_1 - R_{2k+1}) (S_{2k+1})$ .

(iv) If  $E_{2k} \in A_1$  and  $(B_1 - I_{2k}) (R_{2k})$  is empty,

$$E_{2k+1} \in (A_1 - R_{2k}) (S_{2k})$$

and  $E_{2k+2} \in (B_1 - I_{2k+1}) (R_{2k+1})$ .

A properly connected sequence  $E$  is called an exchange sequence if

$A_2 = A_1 - R_{2p} \cup I_{2p}$  is a  $\underline{c}$ -cover. An exchange sequence  $E$  (w.r.t.  $A_1$ ) is called a low sequence (w.r.t.  $A_1$ ) if

$E_1 \in A_1$  and  $E_2 \in B_1(R_1)$  and

$$(3.2) \dots \dots \dots \left| \left\{ x \mid \begin{array}{l} |A_1(x)| = c(x) \\ |A_2(x)| = c(x) \end{array} \right\} \right| > \left| \{ x \} \right|$$

An exchange sequence  $E$  (w.r.t.  $A_1$ ) is called a parallel sequence

(w.r.t.  $A_1$ ) if  $E_1 = B_1$  and  $E_2 \in A_1(S_1)$  and

$$(3.3) \dots \dots \dots \left| \left\{ x \mid \begin{array}{l} |A_1(x)| = c(x) \\ |A_2(x)| = c(x) \end{array} \right\} \right| = \left| \{ x \} \right|$$

Obviously if  $A_1$  is a  $\underline{c}$ -cover and  $E$  is a low sequence w.r.t.

$A_1$ ,  $A_2$  is a  $\underline{c}$ -cover with height less than that of  $A_1$ .

Similarly if  $E$  is a parallel sequence,  $A_2$  is a  $\underline{c}$ -cover with

height equal to that of  $A_1$ . In this case for convenience we say that  $A_2$  is obtained from  $A_1$  by applying a 'parallel transformation'. Let  $\mathcal{M}(A_1)$  denote the family of all  $\underline{c}$ -covers which can be obtained from  $A_1$  by applying parallel transformation a finite number of times.

Lemma 4. Suppose  $A_1$  and  $B_1$  are two minimum  $\underline{c}$ -covers,  $B_1$  being a minimum  $\underline{c}$ -cover with minimum height. Let  $E = \{E_1, E_2, \dots, E_{2p}\}$  be a properly connected sequence w.r.t. both  $A_1$  and  $B_1$  where  $E_1 \in A_1 - B_1$  and  $E_2 \in B_1 - A_1$  and each  $E_i$  belongs to either  $A_1 - B_1$  or  $B_1 - A_1$ . If

$$(A_1 - R_{2p})^{(S_{2p})} \subset (B_1 - I_{2p})^{(S_{2p})}$$

(3.4) . . . . .

$$\text{and } (B_1 - I_{2p})^{(R_{2p})} \subset (A_1 - R_{2p})^{(R_{2p})},$$

then either  $E$  is a low sequence w.r.t.  $A_1$  or  $E$  is a parallel sequence w.r.t.  $B_1$ .

Proof. First we show that  $E$  is an exchange sequence w.r.t. both  $A_1$  and  $B_1$ . For this we have to show that both  $A_2 = A_1 - R_{2p} \cup I_{2p}$  and  $B_2 = B_1 - I_{2p} \cup R_{2p}$  are  $\underline{c}$ -covers. To show that  $A_2$  is a  $\underline{c}$ -cover, it is sufficient to show that for every  $x \in R_{2p}$ ,  $|A_2(x)| \geq c(x)$ . Using (3.4) and the fact that  $A_1$  is a  $\underline{c}$ -cover, we get for  $x \in R_{2p}$

$$\begin{aligned} |A_2(x)| &= |A_2(x)| = |(A_1 - R_{2p})(x)| + |I_{2p}(x)| \\ &\geq |(B_1 - I_{2p})(x)| + |I_{2p}(x)| \\ &= |B_1(x)| \geq c(x). \end{aligned}$$

Similarly we can show that  $B_2$  is a  $\underline{c}$ -cover. From (3.4), we can easily obtain

$$(3.5) \dots \dots \dots \left\{ x \mid x \in R_{2p}, |A_2(x)| = c(x) \right\} \subset \left\{ x \mid x \in R_{2p}, |A_1(x)| = c(x) \right\}$$

$$\text{and } \left\{ x \mid x \in S_{2p}, |B_2(x)| = c(x) \right\} \subset \left\{ x \mid x \in S_{2p}, |A_1(x)| = c(x) \right\}.$$

If  $E$  is not a low sequence w.r.t.  $A_1$ , we have

$$(3.6) \dots \dots \dots \left| \left\{ x \mid |A_1(x)| > c(x) \right\} \right| \geq \left| \left\{ x \mid |A_2(x)| > c(x) \right\} \right|$$

If  $x$  does not belong to  $R_{2p} \cup S_{2p}$ ,  $|A_1(x)| = |A_2(x)|$ .

Hence from 3.6, it follows that

$$(3.7) \dots \dots \dots \left| \left\{ x \mid x \in R_{2p}, |A_1(x)| > c(x) \right\} \right| - \left| \left\{ x \mid x \in R_{2p}, |A_2(x)| > c(x) \right\} \right| \geq \left| \left\{ x \mid x \in S_{2p}, |A_2(x)| > c(x) \right\} \right| - \left| \left\{ x \mid x \in S_{2p}, |A_1(x)| > c(x) \right\} \right|$$

It is easy to check that

$$\left\{ x \mid x \in R_{2p}, |A_2(x)| = c(x) \right\} = \left\{ x \mid x \in R_{2p}, |A_1(x)| > c(x) \right\} - \left\{ x \mid x \in R_{2p}, |A_2(x)| > c(x) \right\}$$

(3.8)  $\dots \dots \dots$

$$\text{and } \left\{ x \mid x \in S_{2p}, |A_1(x)| = c(x) \right\} = \left\{ x \mid x \in S_{2p}, |A_2(x)| > c(x) \right\} - \left\{ x \mid x \in S_{2p}, |A_1(x)| > c(x) \right\}$$

Also we have

$$(3.9) \dots \dots \dots$$

$$\left\{ x \mid x \in R_{2p}, |A_1(x)| > c(x) \right\} \supset \left\{ x \mid x \in R_{2p}, |A_2(x)| > c(x) \right\}$$

and

$$\left\{ x \mid x \in S_{2p}, |A_2(x)| > c(x) \right\} \supset \left\{ x \mid x \in S_{2p}, |A_1(x)| > c(x) \right\}$$

From (3.6), (3.7), (3.8), and (3.9), we get that if  $E$  is not a low sequence w.r.t.  $A_1$

$$(3.10) \dots \dots \dots$$

$$\left| \left\{ x \mid x \in R_{2p}, |A_2(x)| = c(x) \right\} \right| \geq \left| \left\{ x \mid x \in S_{2p}, |A_1(x)| = c(x) \right\} \right|$$

Similarly using the fact that  $B_1$  is a  $\underline{c}$ -cover with least height, we can prove that if  $E$  is not a level sequence w.r.t.  $B_1$

$$(3.11) \dots \dots \dots$$

$$\left| \left\{ x \mid x \in S_{2p}, |B_2(x)| = c(x) \right\} \right| > \left| \left\{ x \mid x \in R_{2p}, |B_1(x)| = c(x) \right\} \right|$$

Suppose the lemma is not true, then from (3.10) and (3.11) we have

$$(3.12) \dots \dots \dots$$

$$\left| \left\{ x \mid x \in R_{2p}, |A_2(x)| = c(x) \right\} \right| + \left| \left\{ x \mid x \in S_{2p}, |B_2(x)| = c(x) \right\} \right|$$

$$> \left| \left\{ x \mid x \in S_{2p}, |A_1(x)| = c(x) \right\} \right| + \left| \left\{ x \mid x \in R_{2p}, |B_1(x)| = c(x) \right\} \right|$$

which contradicts (3.5). Hence the lemma is true.

Lemma 5. Let  $A_1$  be a minimum  $\underline{c}$ -cover and  $A_0$  be a minimum  $\underline{c}$ -cover with least height. Let  $B_1$  be a  $\underline{c}$ -cover in the family  $M(A_0)$  nearest to  $A_1$ . If  $A_1 - B_1$  contains a set  $E_1$ , there exists a low sequence  $E = \{E_1, E_2, \dots, E_{2p}\}$  w.r.t.  $A_1$  where any set in the sequence  $E$

belonging to  $A_1$  belongs to  $A_1 - R_1$ .

Proof. If possible, suppose there is no such low sequence w.r.t.  $A_1$  with  $E_1$  as the leader. Consider  $B_1(R_1)$ . If  $B_1(R_1) \subset A_1 - R_1$ , we can show that  $A_1 - R_1$  is a  $\underline{c}$ -cover. This contradicts the assumption that  $A_1$  is a minimum  $\underline{c}$ -cover. So we can choose  $E_2$  where

$$E_2 \varepsilon B_1(R_1) - (A_1 - R_1)(R_1)$$

Next we consider  $(A_1 - R_2)(S_2)$ . There are two possible cases.

Case 1. In this case

$$(A_1 - R_2)(S_2) \not\subset (B_1 - I_2)(S_2).$$

So we choose  $E_3$  where

(3.13) . . . . .

$$E_3 \varepsilon (A_1 - R_2)(S_2) - (B_1 - I_2)(S_2).$$

Then consider  $(B_1 - I_3)(R_3)$ . If possible, suppose

(3.14) . . . . .

$$(B_1 - I_3)(R_3) \subset (A_1 - R_3)(R_3).$$

In this case we can show that  $A_1 - R_3 \cup I_3$  is a  $\underline{c}$ -cover with cardinality less than that of  $A_1$  which is a contradiction. Hence

(3.14) is not true and we can choose

(3.15) . . . . .

$$E_4 \varepsilon (B_1 - I_3)(R_3) - (A_1 - R_3)(R_3)$$

Case 2. In this case

(3.16) . . . . .

$$(A_1 - R_2)(S_2) \subset (B_1 - I_2)(S_2)$$

Now we consider  $(B_1 - I_2)(R_2)$ . If possible, suppose

(3.17) . . . . .

$$(B_1 - I_2)(R_2) \subset (A_1 - R_2)(R_2)$$

Then it is easily checked that the sequence  $E = \{E_1, E_2\}$  satisfies the conditions of lemma 4. Hence either  $E$  is a low sequence w.r.t.  $A_1$



or  $E$  is a parallel sequence w.r.t.  $B_1$ . Since by our assumption there is no low sequence w.r.t.  $A_1$ ,  $E$  must be a parallel sequence w.r.t.  $B_1$ . Then  $B_2 = B_1 - \mathcal{I}_2 \cup R_2$  belongs to the family  $M(A_0)$  and is nearer to  $A_1$ . This contradicts the assumption that  $B_1$  is a  $\underline{c}$ -cover in the family  $M(A_0)$  nearest to  $A_1$ . Hence (3.17) is not true and we can choose

$$(3.18) \dots \dots \dots E_3 \in (B_1 - \mathcal{I}_2)_{(R_2)} - (A_1 - R_2)_{(R_2)}$$

Next consider  $(A_1 - R_3)_{(S_3)}$ . If possible, suppose

$$(3.19) \dots \dots \dots (A_1 - R_3)_{(S_3)} \subset (B_1 - \mathcal{I}_3)_{(S_3)}.$$

From (3.19), we can show that  $A_2 = A_1 - R_3 \cup \mathcal{I}_3$

is a  $\underline{c}$ -cover with cardinality less than that of  $A_1$ . This contradicts the assumption that  $A_1$  is a minimum  $\underline{c}$ -cover. Hence (3.19) is not true and we can choose

$$E_4 \in (A_1 - R_3)_{(S_3)} - (B_1 - \mathcal{I}_3)_{(S_3)}$$

Using the arguments given above by induction we can show that if there is no low sequence w.r.t.  $A_1$  with  $E_1$  as the leader there exists an infinite sequence of distinct sets which is a contradiction. This completes the proof of the lemma.

Theorem 4. A minimum  $\underline{c}$ -cover  $A_1$  has minimum height if and only if there is no low sequence w.r.t.  $A_1$ .

Proof. Necessity is obvious. To prove sufficiency, assume that there is no low sequence w.r.t. the minimum cover  $A_1$ . Let  $A_0$  be a minimum cover with minimum height. Let  $B_1$  be the cover in the family  $M(A_0)$  nearest to  $A_1$ . It is sufficient to show that  $A_1 \subset B_1$ . If possible, suppose  $A_1 - B_1$  contains a set  $E_1$ . Then by lemma 5, there is a low sequence w.r.t.  $A_1$ . This contradicts our hypothesis. Hence  $A_1 \subset B_1$ .

Theorem 5. Let  $A_0$  be a minimum cover with minimum height. Then any other minimum cover  $A_1$  belongs to the family  $M(A_0)$ .

Proof. Proof follows from lemma 5 and the fact that there is no low sequence w.r.t.  $A_1$ .

Theorem 4 gives an algorithm for the height of a complex. We start with a minimum  $c$ -cover  $A_1$  and look for low sequences w.r.t.  $A_1$ . Whenever we get a low sequence, we get a new minimum cover with height less than that of the original minimum cover. In this way finally we get a minimum cover w.r.t. which there are no low sequences. To test if there is any low sequence w.r.t.

$A_1$  with a set  $E_1$  of  $A_1$  as the leader, we can proceed as follows. If possible, we choose  $E_2$  belonging to  $B_1(R_1)$  where  $B_1 = A - A_1$ . After choosing  $E_2$ , we examine if  $E = \{E_1, E_2\}$  is a low sequence. For this is necessary to compute  $|A_2(x)| = |A_1(x)| - |R_2(x)| + |S_2(x)|$  for  $x \in R_2$ . For  $E$  to be an exchange sequence, it is necessary that for  $x \in R_2$ ,  $|A_2(x)| \geq c(x)$ . If  $E$  is an exchange sequence,  $E$  will be a low sequence w.r.t.  $A_1$  if and only if

$$\left| \left\{ x \mid x \in R_2, |A_2(x)| = c(x) \right\} \right| < \left| \left\{ x \mid x \in S_2, |A_1(x)| = c(x) \right\} \right|.$$

If  $E$  is a low sequence, the test terminates. If not, we consider  $(A_1 - R_2)(S_2)$ . There will be two possible cases (i)  $(A_1 - R_2)(S_2)$  is not empty and (ii)  $(A_1 - R_2)(S_2)$  is empty. If (i) is the case, we choose  $E_3$  belonging to the class  $(A_1 - R_2)(S_2)$ . Next, if possible, we choose  $E_4$  from the class  $(B_1 - S_3)(R_3)$ . If (ii) is the case, if possible we choose  $E_3$  from the class  $(B_1 - S_2)(R_2)$ . Next if possible, we choose  $E_4$  from the class  $(A_1 - R_3)(S_3)$ . After

choosing  $E_4$ , we examine if  $E = \{E_1, E_2, E_3, E_4\}$  is a low sequence or not. In this manner we proceed to build a sequence  $E = \{E_1, E_2, \dots, E_{2p}\}$ . The sequence terminates at an even stage if we get a low sequence or if at some stage (say  $2p$ th stage) both  $(A_1 - R_{2p})$  ( $S_{2p}$ ) and  $(R_1 - Y_{2p})$  ( $R_{2p}$ ) are empty classes. By varying the choices of the sets  $E_2, E_3, E_4, \dots$  we can find out if there is a low sequence with  $E_2$  as the leader or not. Theorem 5 gives an algorithm for finding out all minimum covers with minimum height.

4. Height of a regular complex. Let  $A_1$  be a minimum  $c$ -cover of a regular complex  $(X, A)$ : A set  $E_2$  of  $A_1$  is called a loaded set if for some point  $x$  in  $E_2$ ,  $|A_1(x)| > c(x) + 1$ . A low sequence  $E = \{E_1, E_2, \dots, E_{2p}\}$  w.r.t.  $A_1$  whose leader  $E_1$  is a loaded set is called a loaded low sequence. In this section we consider only regular complexes.

Lemma 6. A low sequence w.r.t. a minimum  $c$ -cover  $A_1$  contains at least one loaded set.

Proof. Let  $E = \{E_1, E_2, \dots, E_{2p}\}$  be a low sequence w.r.t.  $A_1$ . Let  $P_{2p}$  be the union of the sets  $E_1, E_2, \dots, E_{2p}$ . Let  $P^!$  be the union of those sets of  $A_1$  which occur in the sequence  $E$  and  $P^* = P_{2p} - P^!$ . Let  $q$  be the number of points in each set of the complex  $(X, A)$ . It follows easily that

$$(4.1) \quad \sum_{x \in P_{2p}} |R_{2p}(x)| = \sum_{x \in P_{2p}} |Y_{2p}(x)| = pq$$

If possible, suppose for no point  $x$  in  $P^!$ ,  $|A_1(x)| > c(x) + 1$ .

Let 
$$A' = A_1 - R_{2p}$$

$$A_2 = A' \cup Y_{2p}$$

Since  $E$  is a low sequence w.r.t.  $A_1$ , we have

(4.2) . . . . .

$$\left| \left\{ x \mid x \in P_{2p}, |A_2(x)| > c(x) \right\} \right| > \left| \left\{ x \mid x \in P_{2p}, |A_1(x)| > c(x) \right\} \right|$$

Let

(4.3) . . . . .

$$\left\{ x \mid x \in P_{2p}, |A_1(x)| > c(x) \right\} = G_1,$$

$$\left\{ x \mid x \in P_{2p}, |A_2(x)| > c(x) \right\} = G_2,$$

$$\left\{ x \mid x \in P^!, |A_1(x)| - c(x) = 1 \right\} = G_3,$$

$$\left\{ x \mid x \in P^*, |A_1(x)| - c(x) \geq 1 \right\} = G_4,$$

$$\left\{ x \mid x \in P^!, |A_2(x)| - c(x) \geq 1 \right\} = G_5$$

and  $\left\{ x \mid x \in P^* - G_4, |A_2(x)| - c(x) \geq 1 \right\} = G_6$

Since by our assumption for no point  $x \in P^!$ ,  $|A_1(x)| > c(x)+1$ , it follows that  $G_1$  is the union of the disjoint sets  $G_3$  and  $G_4$ .

Also it is easily checked that  $G_2$  is the union of the disjoint sets  $G_4$ ,  $G_5$  and  $G_6$ . From (4.2), we have

(4.4) . . . . .

$$|G_5| + |G_6| > |G_3|.$$

Using (4.3) and (4.4), we get

(4.5) . . . . .

$$\begin{aligned} \sum_{x \in P_{2p}} \left\{ |A_1(x)| + |A_2(x)| - c(x) \right\} &= \sum_{x \in P_{2p}} \left\{ |A_1(x)| - c(x) \right\} \\ &= \sum_{x \in G_4} \left\{ |A_2(x)| - c(x) \right\} + \sum_{x \in G_5} \left\{ |A_2(x)| - c(x) \right\} \\ &\quad + \sum_{x \in G_6} \left\{ |A_2(x)| - c(x) \right\} \end{aligned}$$

$$\begin{aligned}
& \geq \sum_{x \in G_4} \{ |A_1(x)| - c(x) \} + |G_5| + |G_6| \\
& > \sum_{x \in G_4} \{ |A_1(x)| - c(x) \} + |G_3| \\
& = \sum_{x \in G_4} \{ |A_1(x)| - c(x) \} + \sum_{x \in G_3} \{ |A_1(x)| - c(x) \} \\
& = \sum_{x \in P_{2p}} \{ |A_1(x)| - c(x) \} \\
& = \sum_{x \in P_{2p}} \{ |A_1(x)| + |P_{2p}(x)| - c(x) \}
\end{aligned}$$

Obviously (4.5) contradicts (4.1). Hence the low sequence  $E$  must contain at least one loaded set.

Lemma 7. Let  $A_1$  be a minimum  $\underline{c}$ -cover and  $A_0$  be a minimum  $\underline{c}$ -cover with minimum height. Let  $B_1$  be a minimum  $\underline{c}$ -cover in the family  $M(A_0)$  nearest to  $A_1$ . If all loaded sets of  $A_1$  are contained in  $B_1$ ,  $A_1$  is a minimum  $\underline{c}$ -cover with minimum height.

Proof. It is sufficient to show that  $A_1 \subset B_1$ . If not, suppose  $A_1 - B_1$  contains a set  $E_1$ . Then by lemma 5 there exists a low sequence  $E = \{E_1, E_2, \dots, E_{2p}\}$  w.r.t.  $A_1$  where any set of the sequence  $E$  belonging to  $A_1$  belongs to  $A_1 - B_1$ . By lemma 6, the sequence  $E$  must contain at least one loaded set of  $A_1$ . This set also belongs to  $A_1 - B_1$  which contradicts our hypothesis.

Theorem 6. A minimum  $\underline{c}$ -cover  $A_1$  of a regular complex  $(X, A)$  has minimum height if and only if there is no loaded low sequence w.r.t.  $A_1$ .

Proof. Necessity is obvious. To prove sufficiency, assume that there is no loaded low sequence w.r.t. the minimum  $\underline{c}$ -cover  $A_1$ . Let  $A_0$  be a minimum  $\underline{c}$ -cover with minimum height and  $B_1$  be a minimum  $\underline{c}$ -cover in the

family  $M(A_0)$  nearest to  $A_1$ . By lemma 7 it is sufficient to show that all loaded sets of  $A_1$  are contained in  $B_1$ . If not, suppose  $A_1 - B_1$  contains a loaded set  $E_1$ . By lemma 5, there exists a low sequence  $E = \{E_1, E_2, \dots, E_{2p}\}$  w.r.t.  $A_1$ . Since  $E_1$  is a loaded set,  $E$  is a loaded low sequence w.r.t.  $A_1$ . This contradicts our hypothesis. Hence all loaded sets of  $A_1$  are contained in  $B_1$ . This completes the proof of the theorem.

Theorem 6 gives a simpler algorithm for the height of a regular complex. For a regular complex  $(X, A)$ , when searching for low sequences w.r.t. a minimum  $\underline{c}$ -cover  $A_1$ , it is necessary to start with loaded sets of  $A_1$  only.

5. Relationship between maximum matching and minimum cover. Let  $(X, A)$  be a complex and  $\underline{c} = (c(x_1), c(x_2), \dots, c(x_m))$  be a  $m$ -vector of positive integers. Let  $\underline{d} = (d(x_1), d(x_2), \dots, d(x_m))$  be an associated  $m$ -vector where  $d(x_i) = |A(x_i)| - c(x_i)$ ,  $i = 1, 2, \dots, m$ . Let  $A_1$  be a  $\underline{c}$ -matching of the complex.

Theorem 7. A  $\underline{c}$ -matching  $A_1$  is a maximum  $\underline{c}$ -matching if and only if  $B_1 = A - A_1$  is a minimum  $\underline{d}$ -cover.

Proof. It is easily checked that if  $B_1$  is a  $\underline{d}$ -cover,  $A_1 = A - B_1$  is a  $\underline{c}$ -matching and vice, versa. If possible, suppose  $B_1$  is a minimum  $\underline{d}$ -cover and  $A_1$  is not a maximum  $\underline{c}$ -matching. Let  $A_0$  be a maximum  $\underline{c}$ -matching. Then  $B_0 = A - A_0$  is a  $\underline{d}$ -cover. Also we have

$$|A_1| + |B_1| = |A| = |A_0| + |B_0|$$

Since  $A_1$  is not a maximum  $\underline{c}$ -matching,  $|A_1| < |A_0|$ . Hence  $|B_1|$  must be greater than  $|B_0|$  which contradicts the assumption that  $|B_1|$  is a minimum  $\underline{c}$ -cover. This completes the proof of the

theorem.

Similarly it can be proved that if  $B_1$  is a minimum  $\underline{d}$  - cover with minimum height,  $A_1 = A - B_1$  is a maximum  $\underline{c}$  - matching with maximum depth.

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